DT15 Rec'd PCT/PTO 30 NOV 2004.

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PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Mamoru Tomita et al.

Serial No.:

Unknown (U.S. National Phase of PCT/JP03/06684)

Filed:

November 30, 2004

Group Art Unit:

Unknown

Confirmation No.: Unknown Examiner:

Unknown

Title:

PRODUCTION PROCESS FOR LACTULOSE-CONTAINING

POWDER COMPOSITION, LACTULOSE-CONTAINING POWDER COMPOSITION PRODUCED BY SAME, AND FEED ADDITIVE

Our Ref No.:

SHG-035P2

Cincinnati, Ohio 45202

November 30, 2004

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of candor and good faith imposed by 37 C.F.R. §1.56 and means of complying therewith according to 37 C.F.R. §§1.97 and 1.98, the references listed on the attached Form PTO-1449 are called to the attention of the United States Patent and Trademark Office in connection with the above-identified patent application. Copies of the cited references are enclosed herewith.

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No representation is made that the cited art is the only art or that the cited art represents the best art.

The Examiner is urged to consider all of the cited documents and to make an independent evaluation of the teachings and materiality of each.

It is submitted that the cited references do not disclose or render obvious the subject matter claimed in the present application.

Applicants do not believe that this response requires that any fees be submitted, however, if any fees are deemed necessary, these may be charged to Deposit Account No. 23-3000.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

Y

s XV. Flynn

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Sheet 1(A) of 2

SUBSTITUTE FORM PTO-1449 (MODIFIED)								U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				ATTY. DOCKET NO. SHG/035P2			SERIAL NO 5163		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT													ICANT ta et al.				
(Use several sheets if nec (37 CFR 1.98(b))								s if n	ecessary)			FILING DATE November 30, 2004			GROUP Unknown		
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EXAMINER INITIAL			PA	TEI	NT N	1UN	1BE	₹	ISSUE DATE	PATENTEE		CLASS SUBC		FILING DATE IF APPROPRIATE			
	A.A	4	0	0 5 7			6 5		11/1977	Okada et al.			426	583		07/1976	
	A.B	4	1	4	2	9	1	6	03/1979	Ogasa et al.			127	63		05/1978	
	A.C	5	3	0	4	2	5	1	04/1994	Tomita et al.		127		42		08/1992	
	A.D																
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			DOCUMENT NUMBER					P	UBLICATION DATE		COUNTRY OR PATENT OFFICE		CLASS	SUBCL	ASS	TRANSLATION (YES/NO)	
	A.L	EP 0 480 519 A1						04/	1992	EPO			C07H	3/04		Yes	
	A.M	EP 0 532 173 A2						03/	1993	EPO			C07H	3/04		Yes	
	A.N	FR 2 315 855 JP 2741812						01/	1977	France			A23C	23/00		No	
	A.O							01/	1998	Japan	Japan		C13K	13/00		No	
	A.P	JP 40-861						01/	1940	Japan	Japan		34L0			English Excerpt	
	A.Q JP 49-44332						11/	1974	Japan		C13K	9/00		English Excerpt			
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	A.R	Milk Science, Multi-faceted Lactulose: Recent Research on its Development and Physiological Effects, Vol. 50, No. 2, pp. 39-47, 2001 (English Translation Attached)													Vol. 50, No. 2, pp.		
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Substitute Disclosure Form (PTO-1449)

SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. PATENT AND TRADEMARK OFFICE SHG/035P2 (MODIFIED) **APPLICANT** INFORMATION DISCLOSURE STATEMENT BY APPLICANT Tomita et al. **GROUP** (Use several sheets if necessary) **FILING DATE** (37 CFR 1.98(b)) November 30, 2004 Unknown **U.S. PATENT DOCUMENTS EXAMINER ISSUE** FILING DATE IF APPROPRIATE **CLASS SUBCLASS** PATENT NUMBER **PATENTEE** INITIAL DATE B.A B.B B.C B.D B.E B.F B.G B.H B.1 B.J B.K FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS **COUNTRY OR** TRANSLATION DOCUMENT **PUBLICATION SUBCLASS** PATENT OFFICE **CLASS** (YES/NO) NUMBER DATE 3/04 **English Abstract** C07H 02/1993 B.L JP 5-43590 Japan A23K 1/08 **English Abstract** 06/1979 JP 54-15829 B.M Japan **B30B** 15/18 No JP 56-39200 04/1981 Japan B.N 02/1995 **A23K** 1/18 **English Abstract** JP 7-39318 Japan B.O B.P WO 00/36153 06/2000 **WIPO** C13K 13/00 Yes B.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) B.R B.S B.T DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.

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